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An Application of “Selmer Group Chabauty” to Arithmetic Dynamics

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Iterates of quadratic polynomials

Let $c \in \mathbb{Q}$ and define

$$f_c(x) = x^2 + c \in \mathbb{Q}[x].$$

The iterates of f_c are

$$f_c^{\circ 0}(x) = x, \quad f_c^{\circ 1}(x) = f_c(x) = x^2 + c, \quad f_c^{\circ 2}(x) = f_c(f_c(x)) = (x^2 + c)^2 + c, \quad \dots,$$
$$f_c^{\circ(n+1)}(x) = f_c(f_c^{\circ n}(x)) = f_c^{\circ n}(f_c(x)), \quad \dots$$

Question.

For which c and n is $f_c^{\circ n}$ irreducible in $\mathbb{Q}[x]$?

Conjecture.

For all $c \in \mathbb{Q}$, if $f_c^{\circ 2}$ is irreducible, then $f_c^{\circ n}$ is irreducible for all n .

Remark.

$f_c^{\circ 2}$ reducible $\iff c = -t^2$ or $1/c = 4t^2(t^2 - 1)$ with $t \in \mathbb{Q}$.

A criterion

Lemma.

Assume that $n \geq 1$, $f_c^{\circ n}$ is **irreducible** and $f_c^{\circ(n+1)}$ is **reducible**.

Then the **constant term** of $f_c^{\circ(n+1)}$ must be a **square**.

Proof.

$f_c^{\circ(n+1)}(x) = f_c^{\circ n}(x^2 + c)$ is an **even** polynomial.

So $x \mapsto -x$ induces an **involution** on the set of **irreducible factors** of $f_c^{\circ(n+1)}$.

Fixed points of this involution correspond to factors of $f_c^{\circ n}$.

So when $f_c^{\circ(n+1)}$ is **reducible**, it factors as $f_c^{\circ(n+1)}(x) = h(x)h(-x)$,

and $f_c^{\circ(n+1)}(0) = h(0)^2$. (Note that $\deg h$ is even, since $n \geq 1$.) □

Remark.

$f_c^{\circ(n+1)}$ can be irreducible even though its constant term is a square;

e.g., $f_{1/3}^{\circ 2}(x) = x^4 + \frac{2}{3}x^2 + \frac{4}{9}$ is irreducible.

What is known

We define $A_n(c)$ to be the constant term of $f_c^{\circ n}$:

$$A_0(c) = 0, \quad A_1(c) = c, \quad A_2(c) = c^2 + c, \quad \dots, \quad A_{n+1}(c) = A_n(c)^2 + c, \quad \dots$$

Proposition.

Let $c \in \mathbb{Q}$. Then

- ❶ $A_3(c)$ is a square $\iff c = 0$.
- ❷ $A_4(c)$ is a square $\iff c \in \{0, -1\}$.

Proof (sketch; [Jones, Hindes et al.]).

$y^2 = A_3(x)$ is a rank-zero elliptic curve,

$y^2 = A_4(x)$ is a hyperelliptic genus-3 curve with rank-zero Jacobian. □

Conclusion.

If $c \in \mathbb{Q}$ is such that $f_c^{\circ 2}$ is irreducible, then $f_c^{\circ 4}$ is irreducible.

The goal

We want to show that $A_5(c)$ is a square only for $c = 0$.

Equivalently, $a_5(x)$ is a square only for $x = 0$, where $a_5(x) = x^{16}A_5(1/x)$.

Write

$$C: y^2 = a_5(x) = x^{15} + (x^7 + (x^3 + (x + 1)^2)^2)^2;$$

this is an odd degree hyperelliptic curve of genus $g = 7$.

Its Jacobian variety J has 2-Selmer rank 2,

but we are unable to find points of infinite order in $J(\mathbb{Q})$.

So standard Chabauty techniques cannot be applied.

We will instead use “Selmer Group Chabauty”.

We have three rational points on C whose image in J has odd order:

$$C(\mathbb{Q})_{\text{odd}} = \{\infty, (0, 1), (0, -1)\}.$$

The idea

$$\begin{array}{ccccc}
 C(\mathbb{Q}) & \xrightarrow{i} & J(\mathbb{Q}) & \xrightarrow{\cong} & \frac{J(\mathbb{Q})}{2J(\mathbb{Q})} & \xrightarrow{\delta} & \text{Sel}_2(J) \\
 \downarrow & & \downarrow & & & & \downarrow \sigma \\
 C(\mathbb{Q}_2) & \xrightarrow{i} & J(\mathbb{Q}_2) & \xrightarrow{\cong} & \frac{J(\mathbb{Q}_2)}{2J(\mathbb{Q}_2)} & & \frac{J(\mathbb{Q}_2)}{2J(\mathbb{Q}_2)} \\
 & & \downarrow \log & & \downarrow \overline{\log} & & \downarrow \overline{\log} \\
 & & \mathbb{Z}_2^g & \xrightarrow{\cong} & \mathbb{F}_2^g & & \mathbb{F}_2^g
 \end{array}$$

We check that σ is **injective**.

Let $P \in C(\mathbb{Q}) \setminus C(\mathbb{Q})_{\text{odd}}$ and write $i(P) = 2^n \cdot Q$ with $Q \in J(\mathbb{Q})$ and n maximal.

Then $\overline{2^{-n} \log i(P)} = \overline{\log Q} = \overline{\log \sigma \delta(Q)} \in \overline{\log \sigma(\text{Sel}_2(J))}$.

We show $\forall P \in C(\mathbb{Q}_2) \setminus C(\mathbb{Q})_{\text{odd}}: \overline{2^{-n} \log i(P)} \notin \overline{\log \sigma(\text{Sel}_2(J))}$.

This implies that $C(\mathbb{Q}) = C(\mathbb{Q})_{\text{odd}}$.

The Selmer group

Let θ be a root of α_5 (which is irreducible) and set $K = \mathbb{Q}(\theta)$.

For every field extension L/\mathbb{Q} , there is an injective homomorphism

$$\delta_L: J(L)/2J(L) \rightarrow (L \otimes_{\mathbb{Q}} K)^{\times} / (L \otimes_{\mathbb{Q}} K)^{\times 2};$$

The **2-Selmer group** can be identified with the **subgroup of $K^{\times}/K^{\times 2}$** whose elements have **image in $\text{im } \delta_{\mathbb{Q}_v}$** under the obvious map, for **all v** .

The **discriminant** of α_5 ,

$$\text{disc}(\alpha_5) = 13 \cdot 24554691821639909$$

is **odd** and **squarefree** and the **class group** of K is **trivial**, which implies that

$$\text{Sel}_2(J) \subset \mathcal{O}_K^{\times} / \mathcal{O}_K^{\times 2}.$$

The **injectivity of σ** follows from that of

$$\mathcal{O}_K^{\times} / \mathcal{O}_K^{\times 2} \rightarrow \mathbb{Z}_2[\theta]^{\times} / \mathbb{Z}_2[\theta]^{\times 2}.$$

The local image at 2

Any point $Q \in J(L)$ is represented by a divisor of the form $D - d \cdot \infty$ with D effective and not containing a Weierstrass point in its support. Let $\alpha \in L[x]$ be the monic polynomial of degree d whose roots are the x -coordinates of the points in D (with multiplicity). Then

$$\delta_L(Q) = (-1)^d \alpha(\theta) \in L[\theta]^\times / L[\theta]^{\times 2}.$$

Proposition.

There are points in $J(\mathbb{Q}_2)$ with α -polynomials

$$x^d - 2, \quad d \in \{1, 2, \dots, 7\}, \quad x^d - 4, \quad d \in \{3, 5\}$$

that represent a basis of $J(\mathbb{Q}_2)/2J(\mathbb{Q}_2)$.

\rightsquigarrow We can determine $\text{im } \delta_{\mathbb{Q}_2}$ and $\text{Sel}_2(J)$.

The 2-adic abelian logarithm

$$\underline{\omega} = \left(\frac{dx}{y}, \frac{x dx}{y}, \dots, \frac{x^{g-1} dx}{y} \right)$$

is a basis of the space of **regular differentials** on C .
We define the **logarithm** on the image of $C(\bar{\mathbb{Q}}_2)$ by

$$\log i(P) = \int_{\infty}^P \underline{\omega} \in \bar{\mathbb{Q}}_2^g$$

and extend to $J(\bar{\mathbb{Q}}_2)$ by linearity.

Let $P_0 = (0, 1) \in C(\mathbb{Q})_{\text{odd}}$. Since $i(P_0)$ is **torsion**, $\log i(P_0) = 0$.

Near P_0 , we can expand **log** as a g -tuple of formal **power series** in $t = x$.

Proposition.

These power series **converge** in $\bar{\mathbb{Q}}_2$ on points (ξ, η) with $v_2(\xi) > \frac{2}{2g+1}$.

\rightsquigarrow We can determine a **basis** of the \mathbb{Z}_2 -lattice $\mathbb{Z}_2^g \simeq \log J(\mathbb{Q}_2) \subset \bar{\mathbb{Q}}_2^g$.

Points near P_0

Compose \log with the isomorphism to \mathbb{Z}_2^g to obtain

$$\log': J(\mathbb{Q}_2) \longrightarrow \mathbb{Z}_2^g.$$

With respect to our \mathbb{Z}_2 -basis, we have

$$\overline{\log' \sigma(\text{Sel}_2(J))} = \langle (0, 1, 0, 0, 0, 1, 0), (0, 0, 1, 1, 1, 1, 0) \rangle \subset \mathbb{F}_2^g.$$

We can compute the power series $\underline{\ell}(t)$ expressing \log' near P_0 giving

$$\log' i((2t, *)) = (t + O(2^2), t^2 + O(2^2), O(2^2), 2t^4 + O(2^2), O(2^2), 2t^3 + O(2^2), O(2^2)).$$

For t odd, we find $\overline{\log' i((2t, *))} = (1, 1, 0, 0, 0, 0, 0) \notin \overline{\log' \sigma(\text{Sel}_2(J))}$.

For $t \neq 0$ even, we get $2^{-n} \overline{\log' i((2t, *))} = (1, 0, 0, 0, 0, 0, 0) \notin \overline{\log' \sigma(\text{Sel}_2(J))}$.

Conclusion.

$(0, \pm 1)$ are the only points $P \in C(\mathbb{Q})$ with $v_2(x(P)) > 0$.

Other points

To deal with points P near ∞ , i.e., such that $v_2(x(P)) < 0$, we expand \log' in terms of $t = y/x^{g+1}$; this gives

$$\log' i(P_{2t}) = (O(2^2), O(2^2), O(2^2), O(2^2), O(2^2), O(2^2), -t + 2t^2 + O(2^2)).$$

We find that $\overline{2^{-n} \log' i(P_{2t})} = (0, 0, 0, 0, 0, 0, 1) \notin \overline{\log' \sigma(\text{Sel}_2(J))}$ for all $t \in \mathbb{Z}_2$.

Conclusion.

∞ is the only point $P \in C(\mathbb{Q})$ with $v_2(x(P)) < 0$.

The (possibly) remaining rational points P have $x(P) \equiv -3 \pmod{8}$.

We check that $\delta_{\mathbb{Q}_2} i(P) \notin \sigma(\text{Sel}_2(J))$.

Conclusion.

There are no points $P \in C(\mathbb{Q})$ with $v_2(x(P)) = 0$.

So $C(\mathbb{Q}) = \{\infty, (0, 1), (0, -1)\}$.

Further results

We have shown that

$$\textcircled{3} \quad A_5(c) \text{ is a square} \iff c = 0.$$

Lemma.

If $1 < m \mid n$ and $c \in \mathbb{Q}$ such that $A_n(c)$ is a square, then $\pm A_m(c)$ is a square. If $m \equiv n \pmod{2}$, then $A_m(c)$ is a square.

$$\textcircled{4} \quad A_6(c) \text{ is a square} \iff c \in \{0, -1\}. \quad [\rightsquigarrow \text{genus 2 curve of rank 1}]$$

$$\textcircled{5} \quad A_7(c) \text{ is a square} \iff c = 0. \quad [\text{under GRH; } \text{Sel}_2(J) = 0]$$

$$\textcircled{6} \quad A_{10}(c) \text{ is a square} \iff c \in \{0, -1\}. \quad [\text{work with } y^2 = -a_5(x)]$$

Theorem.

Let $c \in \mathbb{Q}$ such that $f_c^{\circ 2}$ is irreducible [$\rightsquigarrow c \notin \{0, -1\}$].

Then $f_c^{\circ 6}$ is irreducible. Assuming GRH, $f_c^{\circ 10}$ is also irreducible.

Proof.

By the above, $A_n(c) \neq \square$ for $n = 3, 4, 5, 6, 8, 9, 10$ and for $n = 7$ under GRH. \square

Generalization

The method applies in a similar way to curves of the form

$$C: y^2 = x^{2g+1} + h(x)^2 \quad \text{with } h \in \mathbb{Z}[x], \deg h \leq g \text{ and } h(0) \text{ odd}$$

to show that $C(\mathbb{Q}) = \{\infty, (0, h(0)), (0, -h(0))\}$.

The key step is the **explicit** description of $J(\mathbb{Q}_2)/2J(\mathbb{Q}_2)$.

We have implemented the method for the case that **$h(1)$ is even** and have run it for **$g = 5$** on **all** the 16808 suitable h with coefficients in **$\{-3, \dots, 3\}$** and positive leading coefficient:

dim Sel	0	1	2	3	4	5	avg. # Sel	total	perc.
success	3307	5786	2553	309	7	0	2.314	11962	71.2%
more pts.	0	693	1204	644	133	6	5.102	2680	15.9%
failure	0	668	1004	436	57	1	4.517	2166	12.9%
total	3307	7147	4761	1389	197	7	3.042	16808	100.0%

Thank You!