

On linear codes  
associated with the Desarguesian ovoids  
in  $Q^+(7, q)$

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## Points and linear codes

- ▶ Let  $\mathcal{P}$  spanning multiset of  $n$  points in  $\text{PG}(\mathbb{F}_q^k) \cong \text{PG}(k-1, q)$ .
- ▶ Write  $\mathcal{P} = \{\langle v_1 \rangle, \dots, \langle v_n \rangle\}$ .
- ▶ Generator matrix  $G = (v_1 \cdots v_n) \in \mathbb{F}_q^{k \times n}$  yields  $\mathbb{F}_q$ -linear  $[n, k]_q$ -code  $C$ .
- ▶  $C$  well-defined up to linear equivalence of codes.
- ▶  $C$  full-length, i.e. no all-zero position.
- ▶ For codeword  $c = x^\top G \neq \mathbf{0}$ , define hyperplane  $H = x^\perp$ . Then  $w_{\text{Ham}}(c) = n - \#\{\langle P \rangle \in \mathcal{P} \mid P \in H\}$ .  
(= # of points in  $\mathcal{P}$  outside of  $H$ )

## Conclusion

- ▶ We get correspondence  
Spanning multisets  $\mathcal{P}$  of points  
 $\longleftrightarrow$  full-length linear codes  $C$ .
- ▶ Weights of  $C \longleftrightarrow$  hyperplane intersections of  $\mathcal{P}$ .
- ▶ Corresponding notions on geometric side: [arc](#), [minihyper](#).
- ▶ Strong link between finite geometry and coding theory.
- ▶ First (?) published in 1964 in PhD thesis of Burton.

## Plan

- ▶ Take your favorite point set  $\mathcal{P}$ .
- ▶ Compute the hyperplane intersections.
- ▶ Hope for a good code!

## Ovoids in $Q^+(7, q)$

- ▶ Ovoid in polar space = set of points covering every generator exactly once.
- ▶ Kantor (1982): two series of ovoids in  $Q^+(7, q)$ .
- ▶ **Unitary ovoid** for  $q \equiv 0, 2 \pmod{3}$ .  
stabilized by  $\text{PGU}(3, q)$ .

Cooperstein (1995):

Hyperplane intersections for  $q \equiv -1 \pmod{6}$ .

$\rightsquigarrow [q^3 + 1, 8, q^3 - q^2 - 2q]_q$ -code.

- ▶ **Desarguesian ovoid** for  $q$  even.  
stabilized by  $\text{PGL}(2, q^3)$ .

**Goal:** Determine its hyperplane intersections.

$\rightsquigarrow [q^3 + 1, 8, q^3 - q^2 - q]_q$ -code.

## The Desarguesian ovoid

- ▶ Let  $V = \mathbb{F}_q \times \mathbb{F}_{q^3} \times \mathbb{F}_{q^3} \times \mathbb{F}_q$  vector space over  $\mathbb{F}_q$  of dim. 8.
- ▶ fix nondegenerate quadratic form on  $V$

$$Q(x, y, z, w) = xw + \text{Tr}(yz).$$

$\rightsquigarrow$  polar space  $Q^+(7, q)$ .

- ▶ group operation of  $\text{PGL}(2, q^3)$  on  $\text{PG}(V)$  induced by

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \bullet (x \ y \ z \ w)^\top = \begin{pmatrix} N(d)x + N(c)w + \text{Tr}(cd^{q^2+q}y + dc^{q^2+q}z) \\ bd^{q^2+q}x + ac^{q^2+q}w + ad^{q^2+q}y + bd^{q^2}c^qy^q + bd^q c^{q^2}y^{q^2} + bc^{q^2+q}z + d^q ac^{q^2}z^q + d^{q^2} ac^q z^{q^2} \\ db^{q^2+q}x + ca^{q^2+q}w + cb^{q^2+q}y + db^{q^2}a^qy^q + db^q a^{q^2}y^{q^2} + da^{q^2+q}z + b^q ca^{q^2}z^q + b^{q^2} ca^q z^{q^2} \\ N(b)x + N(a)w + \text{Tr}(ab^{q^2+q}y + ba^{q^2+q}z) \end{pmatrix}$$

- ▶  $q$  even: Orbit  $O$  of  $\langle(1, 0, 0, 0)\rangle$  is **Desarguesian ovoid**.
- ▶  $q$  odd:  $O$  complete partial ovoid in  $W(7, q)$   
(Cossidente 2011)
- ▶ We consider  $O$  for all values of  $q$ .

## Theorem

There are four orbits on  $\text{PG}(V)$ , with the following properties.

orbit	size	representative $v$	$\#(v^\perp \cap \mathcal{O})$
$\mathcal{O}$	$q^3 + 1$	$\langle(1, 0, 0, 0)\rangle$	1
$\mathcal{O}_2$	$q(q^2 + q + 1)(q^3 + 1)$	$\langle(0, 0, 1, 0)\rangle$	$q^2 + 1$
$\mathcal{O}_3$	$\frac{1}{2}q^3(q^3 + 1)(q - 1)$	$\langle(1, 0, 0, 1)\rangle$	$q^2 + q + 1$
$\mathcal{O}_4$	$\frac{1}{2}q^3(q^3 - 1)(q + 1)$	$\langle(1, 0, \alpha, \alpha)\rangle$	$q^2 - q + 1$

Where  $\alpha \in \mathbb{F}_q$  such that  $x^2 - x - \alpha \in \mathbb{F}_q[x]$  is irreducible.

### Proof (sketch).

- ▶ Enough to compute  $\#(v^\perp \cap \mathcal{O})$  for single representative  $v$ .
- ▶ Use orbit-stabilizer-theorem for  $(\#\mathcal{O})$ ,  $\#\mathcal{O}_2$ ,  $\#\mathcal{O}_3$ .
- ▶ Show that  $\text{PG}(V) \setminus (\mathcal{O} \cup \mathcal{O}_2 \cup \mathcal{O}_3)$  is a single orbit.  
(longest part; count solutions of certain equations in  $\mathbb{F}_{q^3}$ ).
- ▶ several pages of computations.

Let  $C_O$  be the  $\mathbb{F}_q$ -linear code associated to  $O$ .

### Corollary

The code  $C_O$  has the parameters  $[q^3 + 1, 8, q^3 - q^2 - q]_q$  and the weight enumerator

weight	multiplicity
$0$	$1$
$q(q^2 - q - 1)$	$\frac{1}{2}q^3(q^3 + 1)(q - 1)^2$
$q^2(q - 1)$	$q(q^6 - 1)$
$q(q^2 - q + 1)$	$\frac{1}{2}q^3(q^3 - 1)(q^2 - 1)$
$q^3$	$(q^3 + 1)(q - 1)$

### Proof.

Correspondence “points  $\leftrightarrow$  linear codes”.



## Corollary

The code  $C_O^\perp$  has the parameters  $[q^3 + 1, q^3 - 7, d]_q$  with

$$d = \begin{cases} 9 & \text{if } q = 2; \\ 6 & \text{if } q = 3; \\ 5 & \text{otherwise.} \end{cases}$$

## Proof.

Apply MacWilliams to the weight enumerator of  $C_O$ . □

## Remark

For  $q = 2$ :

- ▶  $C_O$  is  $[9, 8, 2]$  parity check code.
- ▶  $C_O^\perp$  is  $[9, 1, 9]$  repetition code.

## Question

How good are the codes  $C_O$  and  $C_O^\perp$  in general?



## Interlude: Optimality of linear codes

When should we call a linear code **optimal**?

### First approach: parametric optimality

- ▶ Parameters of linear code  $C$  usually given as  $[n, k, d]$ .
- ▶ We want:  $n$  small,  $k$  large,  $d$  large.
- ▶ **parametric optimality**: Fix two parameters.  
 $C$  **optimal**  $\iff$  third parameter is best possible
- ▶  $C$  **distance-optimal** ( $d$ -optimal)  $\iff \nexists [n, k, d + 1]$ -code.
- ▶  $C$  **dimension-optimal** ( $k$ -optimal)  $\iff \nexists [n, k + 1, d]$ -code.
- ▶  $C$  **length-optimal** ( $n$ -optimal)  $\iff \nexists [n - 1, k, d]$ -code.

## Parametric optimality (continued)

- ▶ Dependencies among  $n$ -,  $k$ - and  $d$ -optimality?
- ▶ Yes!  
 $C$   $n$ -optimal  $\implies C$   $k$ -optimal and  $C$   $d$ -optimal.  
**Proof:** via shortening / puncturing
- ▶  $\implies$ 
  - ▶  $n$ -optimality: interesting!
  - ▶  $d$ -optimality and  $k$ -optimality: pretty weak.  
Unfortunately: Used a lot in the literature.
- ▶ Little flaw in concept of parametric optimality:  
Optimality notions depend on chosen basis  
( $n, k, d$ ) of the parameter space.

## Second approach: wish list

What do we expect of an optimal code?

- ▶ “better than others”:  
Cannot be constructed in an elementary way from other linear codes.
- ▶ “building blocks”:  
Every realizable parameter set should be constructible in an elementary way from optimal codes.

## Questions and potential complications

- ▶ What should be considered as an elementary construction?
- ▶ Conditions might be contradictory.
- ▶ What about computability?

## Compromise

- ▶ Restrict to “local” elementary constructions:
  - ▶ Extend by a zero position:  $[n, k, d] \rightsquigarrow [n + 1, k, d]$ .
  - ▶ Shorten:  $[n, k, d] \rightsquigarrow [n - 1, k - 1, d]$ .
  - ▶ Puncture:  $[n, k, d] \rightsquigarrow [n - 1, k, d - 1]$ .
- ▶ “better than others”-property yields following notions of optimality for  $[n, k, d]$  code  $C$ .
  - ▶  $C$  **length-optimal** ( $n$ -opt.)  $\iff \nexists [n - 1, k, d]$ -code.
  - ▶  $C$  **shortening-optimal** ( $S$ -opt.)  $\iff \nexists [n + 1, k + 1, d]$ -code.
  - ▶  $C$  **puncturing-optimal** ( $P$ -opt.)  $\iff \nexists [n + 1, k, d + 1]$ -code.
  - ▶  $C$  **strongly optimal**  $\iff n$ -opt. and  $S$ -opt. and  $P$ -opt.

(Dodunekov, Simonis 2000)

## Remarks

- ▶  $n$ -,  $S$ - and  $P$ -optimality are **independent** properties.
- ▶ Strongly regular codes satisfy “building block”-property for all codes  $C$  except border cases.  
(repetition & parity-check codes, full/empty space)
- ▶ In fact:  
 $n$ -,  $S$ - and  $P$ -optimality are **parametric optimality** wrt representation of parameters as  $[s, k, d]$ , where  $s = n - k - d + 1 \geq 0$  is **Singleton defect** of  $C$ .

## Conclusion

- ▶  $d$ - and  $k$ -optimality are weak concepts of optimality. Forget about them!
- ▶ Instead: Think in terms of  $n$ -,  $S$ - and  $P$ -optimality.

Back to the codes  $C_O$  and  $C_O^\perp \dots$

## Theorem

*All codes  $C_O$  and all codes  $C_O^\perp$  are  $n$ -optimal.*

## Proof.

- ▶ For  $C_O^\perp$ : sphere packing bound.
- ▶ For  $C_O$ : linear programming bound ...



## Proof ( $n$ -optimality of $C_O$ via LP-bound).

- ▶ Assume there exists  $[n, k, d]_q = [q^3, 8, q^3 - q^2 - q]_q$  code.
- ▶ Let  $f(x) = (x - z_1)(x - z_2)(x - z_3)(x - n)$  where  
 $z_1 = q^3 - q^2 - q, \quad z_2 = q^3 - q^2 + q - 2, \quad z_3 = q^3 - q^2 + q - 1.$

- ▶ Then  $f(i) \leq 0$  for all  $i \in \{d, d + 1, \dots, n\}$ .
- ▶ Krawchouk expansion of  $f$  is  $f(x) = \sum_{i=0}^4 f_i K_i(x)$  where

$K_i = i$ th Krawchouk polynomial

$$f_0 = 2/q \cdot (q - 1)(q^4 - 2q^3 - q^2 + 3),$$

$$f_1 = 2/q^4 \cdot (q - 1)(q^6 + q^5 - 10q^3 + 3q + 12),$$

$$f_2 = 2/q^4 \cdot (q^5 + 5q^4 - 9q^3 - 6q^2 - 18q + 36),$$

$$f_3 = 6/q^4 \cdot (q^3 + q^2 + 3q - 12),$$

$$f_4 = 24/q^4.$$

- ▶ For  $q \geq 3$ :  $f_i \geq 0$ .
- ▶ LP-bound  $\implies \#C \leq f(0)/f_0 < q^8$ . Contradiction.

## Parameters for small $q$

$C_O$	$[n, k, d]$	$n$ -opt	$S$ -opt	$P$ -opt	strongly opt
$q = 2$	$[9, 8, 2]$	yes	(no)	yes	(no)
$q = 3$	$[28, 8, 15]$	yes	yes	yes	yes
$q = 4$	$[65, 8, 44]$	yes	yes	yes	yes
$q = 5$	$[126, 8, 95]$	yes	yes	?	?

$C_O^\perp$	$[n, k, d]$	$n$ -opt	$S$ -opt	$P$ -opt	strongly opt
$q = 2$	$[9, 1, 9]$	yes	yes	(no)	(no)
$q = 3$	$[28, 20, 6]$	yes	?	yes	?
$q = 4$	$[65, 57, 5]$	yes	no	?	no
$q = 5$	$[126, 118, 5]$	yes	?	?	?

## Optimistic conjecture

The codes  $C_O$  are strongly optimal for all  $q \geq 3$ .



# Thank you!

Slides will be uploaded at

<https://mathe2.uni-bayreuth.de/michaelk/>