

# Subspace codes and $q$ -analogs of designs

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# Outline

## Subspace codes

Motivation and definition

Partial spreads

The case  $A_q(6, 4; 3)$

## $q$ -analogs of designs

Block designs and their  $q$ -analogs

$\alpha$ -points

Automorphisms of a binary  $q$ -analog of the Fano plane

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## Example



3 time units needed.

## Example



a



b

1. ((a))

((b))

3 time units needed.

## Example



• a



• a  
• b



• b

1. ((a))

((b))

3 time units needed.

## Example



• a



• a  
• b



• b

1. ((a))

((b))

2.

((a))

3 time units needed.

## Example



• a

1. ((a))

2.



• a

• b

((a))



• b

• a

((b))

3 time units needed.



## Example



• a

1. ((a))

2.

3.



• a

• b

((a))

((b))



• b

• a

((b))

3 time units needed.

## Example



- a
- b

1. ((a))

2.

3.



- a
- b

((a))

((b))



- b
- a

((b))

3 time units needed.

## Example



- a
- b

1. ((a))

2.

3.



- a
- b

((a))

((b))



- b
- a

((b))

3 time units needed.

## Example (improved)



• a



• b

Improvement to 2 time units!

## Example (improved)



a



b

1. ((a))

((b))

Improvement to 2 time units!

## Example (improved)



• a



• a  
• b



• b

1. ((a))

((b))

Improvement to 2 time units!

## Example (improved)



• a



• a  
• b



• b

1. ((a))

((b))

2'

((a+b))

Improvement to 2 time units!

## Example (improved)



- a
- a+b



- a
- b



- b
- a+b

1. ((a))

((b))

2'

((a+b))

Improvement to 2 time units!



## Example (improved)



- $a$
- $a+b$
- $b = (a+b) - a$

1.  $((a))$

2'



- $a$
- $b$

$((a+b))$



- $b$
- $a+b$
- $a = (a+b) - b$

$((b))$

Improvement to 2 time units!

## Example (improved)



- $a$
- $a+b$
- $b = (a+b) - a$

1.  $((a))$

2'



- $a$
- $b$

$((a+b))$



- $b$
- $a+b$
- $a = (a+b) - b$

$((b))$

Improvement to 2 time units!

# Subspace codes

- ▶ Given: Communication network with **several senders and receivers**. (internet broadcasting, cloud storage, . . .)
- ▶ From example:  
For optimal transmission times, consider sending **linear combinations** of messages.
- ▶ Error correction in such networks?
- ▶ Electrical engineers Kötter and Kschischang in 2008:  
Definition of suitable error correcting codes for network coding.
- ▶ Interesting mathematical objects on its own.
- ▶ Interconnections to several established fields of research.
- ▶ Interpretation:  $q$ -analog (or geometrization) of classical binary block codes.

## Fixed notation

- ▶  $q$  prime power
- ▶  $V$  an  $\mathbb{F}_q$ -vector space of dimension  $v$ .
- ▶  $\mathcal{L}(V)$  lattice of all subspaces of  $V$ .
- ▶ **Grassmannian**  $\begin{bmatrix} V \\ k \end{bmatrix}_q :=$  Set of all  $k$ -dim. subspaces of  $V$ .  
Reminder:  $\# \begin{bmatrix} V \\ k \end{bmatrix}_q = \begin{bmatrix} v \\ k \end{bmatrix}_q$  Gaussian binomial coefficient.

## Projective geometry

- ▶ Subspace lattice  $\mathcal{L}(V)$   
= finite projective geometry  $PG(V) \cong PG(v-1, q)$ 
  - ▶ Elements of  $\begin{bmatrix} V \\ 1 \end{bmatrix}_q$  are **points**.
  - ▶ Elements of  $\begin{bmatrix} V \\ 2 \end{bmatrix}_q$  are **lines**.
  - ▶ Elements of  $\begin{bmatrix} V \\ 3 \end{bmatrix}_q$  are **planes**.
  - ▶ Elements of  $\begin{bmatrix} V \\ 4 \end{bmatrix}_q$  are **solids**.
  - ▶ Elements of  $\begin{bmatrix} V \\ v-1 \end{bmatrix}_q$  are **hyperplanes**.

## Definition (Kötter, Kschischang 2008)

- ▶ **subspace distance** on  $\mathcal{L}(V)$ :  
$$d(A, B) = \dim(A + B) - \dim(A \cap B) = \dim(A) + \dim(B) - 2 \dim(A \cap B)$$
- ▶  $C \subseteq \mathcal{L}(V)$  **subspace code**.  
Its elements are the **codewords** or **blocks** of  $C$ .
- ▶  $d(C) = \min\{d(A, B) \mid A \neq B \in C\}$   
**(minimum) subspace distance** of  $C$ .
- ▶ Abbreviation:  $C$  is  $(v, \#C, d(C))_q$ -subspace code.
- ▶ Important special case  $C \subseteq \begin{bmatrix} V \\ k \end{bmatrix}_q$   
 $\implies C$  **constant dimension (subspace-)code**,  
abbreviated  $C (v, \#C, d(C); k)_q$  CDC.
- ▶ We want:  $\#C$  large,  $d(C)$  large
- ▶ Let  $A_q(v, d; k)$  maximum size  $M$  of  $(v, M, d; k)_q$  CDC.

## Research goals

- ▶ Find lower bounds for  $A_q(v, d; k)$  by constructing good codes.
- ▶ Find upper bounds for  $A_q(v, d; k)$ .
- ▶ Determine exact values of  $A_q(v, d; k)$ .
- ▶ Classify all optimal CDCs.
- ▶ (Find efficient decoding algorithms.)

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## Subspace codes and partial spreads

- ▶ For  $\dim(A) = \dim(B) = k$  we have  
 $d(A, B) = 2(k - \dim(A \cap B))$ .  
 $\implies$  minimum distance  $d(C) = 2\delta$  of CDC  $C$  is even.
- ▶ With  $t := k - \delta + 1$ :  
 $C \subseteq \left[ \begin{smallmatrix} V \\ k \end{smallmatrix} \right]_q$  CDC with  $d(C) \geq 2\delta$   
 $\iff$  every  $t$ -subspace of  $V$   
is contained in at most one codeword.
- ▶ Therefore:  $C \subseteq \left[ \begin{smallmatrix} V \\ k \end{smallmatrix} \right]_q$  is  $(v, M, 2k; k)_q$  CDC  
 $\iff$  Each point of  $\text{PG}(V)$   
is contained in at most one codeword.
- ▶ In finite geometry, these objects are known as  
**partial  $(k - 1)$ -spreads**.



## Spreads

- ▶ Partial  $(k - 1)$ -spread covering **all** points of  $\text{PG}(V)$  is called  **$(k - 1)$ -spread**.
- ▶ Known:  $(k - 1)$ -spread exists  $\iff k \mid v$ .
- ▶  $\implies$  For  $k \mid v$  we have  $A_q(v, 2k; k) = \binom{v}{1}_q / \binom{k}{1}_q = \frac{q^v - 1}{q^k - 1}$ .
- ▶ Maximum size  $A_q(v, 2k; k)$  of partial spreads studied since the 1970s, not known in general.
- ▶ Recent strong result ([Năstase, Sissokho 2017](#)):  
Write  $v = tk + r$  with remainder  $r \in \{0, \dots, k - 1\}$ . Then

$$A_q(v, 2k; k) = \frac{q^v - q^{k+r}}{q^k - 1} + 1$$

whenever  $k > \binom{r}{1}_q$ .

## Holes

- ▶ Let  $S$  be a partial  $(k - 1)$ -spread.
- ▶ Let  $P$  be its set of **holes** (points not covered by  $S$ ).
- ▶ Observation:  
 $P$  defines an  $\mathbb{F}_q$ -linear code  $C$  of effective length  $\#P$ ,  
 $C$  is  **$q^{k-1}$ -divisible** (all Hamming weights divisible by  $q^{k-1}$ ).
- ▶ **K., Kurz 2018**: Classification of the effective lengths of  $\Delta$ -divisible  $\mathbb{F}_q$ -linear codes where  $\Delta$  power of  $q$ .
- ▶ Result of Năstase and Sissokho follows as a corollary!

## Improvement of the Johnson bound

- ▶ Xia, Fu 2009: Important recursive bound for CDCs (Johnson bound)

$$A_q(v, d; k) \leq \left\lfloor \frac{(q^v - 1)A_q(v - 1, d; k - 1)}{q^k - 1} \right\rfloor$$

- ▶ Idea: Fix a point  $P$  and consider the image in  $V/P$ .
- ▶ K., Kurz 2018: Improvement of the Johnson bound.
- ▶ Idea:
  - ▶ Suitable notion of “holes” (with multiplicities!) of a CDC.
  - ▶ Holes yield a divisible code, apply characterization of effective lengths.
- ▶ Example: best known bound  $A_2(9, 6; 4) \leq 1158$  improved to  $A_2(9, 6; 4) \leq 1156$ .

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## The case $A_q(6, 4; 3)$

- ▶ Smallest case not covered by results on partial spreads:  
 $v = 6, k = 3, d = 4$ .
- ▶ Geometrically: Set of planes in  $\text{PG}(\mathbb{F}_q^6)$  intersecting pairwise at most in a point.
- ▶ Best known upper bound:  $A_q(6, 4; 3) \leq (q^3 + 1)^2$ .  
(Johnson bound + result on partial spreads)

## Computer classification for $q = 2$

- ▶ In binary case  $q = 2$ :  $A_2(6, 4; 3) \leq 81$ .
- ▶ Best known construction  $\rightsquigarrow A_2(6, 4; 3) \geq 77$ .
- ▶ Goal: Classify all CDCs of maximum size for  $q = 2$ .
- ▶ Huge search space: There are  $\begin{bmatrix} 6 \\ 3 \end{bmatrix}_2 = 1395$  planes,

$$\binom{1395}{77} = \text{129-digit number.}$$

- ▶ Intermediate classification steps needed.

## 9-configurations

- ▶ **9-configuration** = set of 9 planes of subspace distance  $\geq 4$ , passing through a common point.
- ▶ **Lemma:** If  $\#C \geq 73$  then  $C$  contains a 9-configuration.
- ▶ 9-configurations  $\hat{=}$  partial line spreads in  $\text{PG}(\mathbb{F}_2^5)$ .
- ▶ **Soicher 2000:** 4 isomorphism types.

## 17-configurations

- ▶ **17-configuration** = set of 17 planes of subspace distance  $\geq 4$  containing two 9-configurations.
- ▶ **Lemma:** If  $\#C \geq 74$  then  $C$  contains a 17-configuration.
- ▶ Computer classification of 17-configurations:
  - ▶ Compute all extensions of the 4 types of 9-configurations.
  - ▶ Filter out isomorphic copies.
  - ▶ Result: 12770 isomorphism types of 17-configurations.
- ▶ For each of the 12770 17-configurations, compute all extensions to  $(6, M, 4; 3)_2$  CDCs with  $M \geq 77$ .

Result of the classification:

### Theorem (Honold, K., Kurz 2015)

- ▶  $A_2(6, 4; 3) = 77$
- ▶ 5 PGL-isomorphism types of  $(6, 77, 4; 3)_2$  CDCs.

### Analysis of the computer result

- ▶ The most symmetric  $(6, 77, 4; 3)_2$ -code shows a clear construction principle.
- ▶ This construction generalizes to all values of  $q$ .

### Theorem (Honold, K., Kurz 2015)

For all  $q$ ,

$$q^6 + 2q^2 + 2q + 1 \leq A_q(6, 4; 3) \leq q^6 + 2q^3 + 1.$$

Next open case for  $q = 2$  is  $333 \leq A_2(7, 4; 3) \leq 381$ .

↪  $q$ -analog of the Fano plane.

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## Subset lattice

- ▶ Let  $V$  be a  $v$ -element set.
- ▶  $\binom{V}{k} :=$  Set of all  $k$ -subsets of  $V$ .
- ▶  $\#\binom{V}{k} = \binom{v}{k}$ .
- ▶ Subsets of  $V$  form a distributive lattice (wrt.  $\subseteq$ ).

## Definition

$D \subseteq \binom{V}{k}$  is a  $t$ - $(v, k, \lambda)$  (block) design

if

each  $T \in \binom{V}{t}$  is contained in exactly  $\lambda$  blocks (elements of  $D$ ).

- ▶ If  $\lambda = 1$ :  $D$  Steiner system
- ▶ If  $\lambda = 1$ ,  $t = 2$  and  $k = 3$ :  $D$  Steiner triple system STS( $v$ )

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## Definition

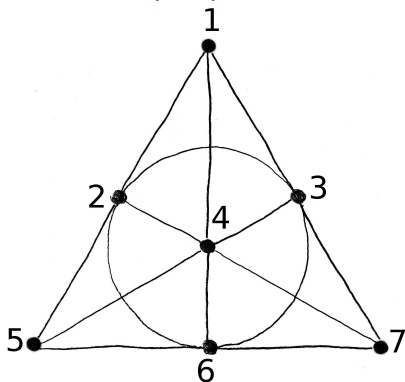
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## Example (Fano plane $PG(2, 2)$ )



$$V = \{1, 2, 3, 4, 5, 6, 7\}$$

$$D = \{\{1, 2, 5\}, \{1, 4, 6\}, \{1, 3, 7\}, \{2, 3, 6\}, \\ \{2, 4, 7\}, \{3, 4, 5\}, \{5, 6, 7\}\}$$

Fano plane  $D$  is a  $2$ -( $7, 3, 1$ ) design, i.e. an  $STS(7)$ .

## Idea of $q$ -analogs in combinatorics

Replace subset lattice by **subspace lattice**!

### Dictionary

original	$q$ -analog
subset lattice	subspace lattice
$v$ -element set $V$	$v$ -dim. $\mathbb{F}_q$ vector space $V$
$\binom{V}{k}$	$\begin{bmatrix} V \\ k \end{bmatrix}_q$
$\binom{V}{k}$	$\begin{bmatrix} V \\ k \end{bmatrix}_q$
cardinality	dimension
$\cap$	$\cap$
$\cup$	$+$
$q = 1$ “ $\mathbb{F}_1$ ”	$q$ proper prime power $\mathbb{F}_q$

## Definition (block design, stated again)

Let  $V$  be a  $v$ -element set.

$D \subseteq \binom{V}{k}$  is a  $t$ - $(v, k, \lambda)$  (block) design

if each  $T \in \binom{V}{t}$  is contained in exactly  $\lambda$  elements of  $D$ .

$q$ -analog of a design?

## Definition (subspace design)

Let  $V$  be a  $v$ -dimensional  $\mathbb{F}_q$  vector space.

$D \subseteq \left[ \begin{smallmatrix} V \\ k \end{smallmatrix} \right]_q$  is a  $t$ - $(v, k, \lambda)_q$  (subspace) design

if each  $T \in \left[ \begin{smallmatrix} V \\ t \end{smallmatrix} \right]_q$  is contained in exactly  $\lambda$  elements of  $D$ .

- ▶ If  $\lambda = 1$ :  $D$   $q$ -Steiner system
- ▶ If  $\lambda = 1$ ,  $t = 2$ ,  $k = 3$ :  $D$   $q$ -Steiner triple system  $STS_q(v)$
- ▶ Geometrically:  
 $STS_q(v)$  is a set of planes in  $PG(v - 1, q)$   
covering each line exactly once.

## Remarks

- ▶ First definition of subspace designs by [P. Cameron](#), 1972.  
“Several people have observed that the concept of a  $t$ -design can be generalised as follows. [...]”
- ▶  $1-(v, k, 1)_q$  designs  $\hat{=}$   $(k - 1)$ -spreads in  $\text{PG}(v - 1, q)$
- ▶ First construction of non-trivial subspace designs with  $t \geq 2$  by [S. Thomas](#) in 1987.
- ▶ subspace codes =  $q$ -analog of binary block codes,  
CDCs =  $q$ -analog of binary constant weight codes.

## Existence of subspace designs

- ▶ [Fazeli, Lovett, Vardy 2014](#) (non-constructive proof):  
Non-trivial subspace designs exist for all  $t$ .
- ▶ Still not too many concrete constructions are known.



## Known infinite series of subspace designs with $t \geq 2$

- ▶ Thomas 1987; Suzuki 1990 and 1992:  
 $2-(v, 3, q^2 + q + 1; q)$  for all  $q$  and  $v \equiv \pm 1 \pmod{6}$ ,  $v \geq 7$ .
- ▶ A series by Itoh 1998.
- ▶ Braun, K., Kohnert, Laue 2017:  $2-(v, k, \left[ \begin{smallmatrix} v-2 \\ k-2 \end{smallmatrix} \right]_q / 2)_q$   
for  $q \in \{3, 5\}$ ,  $v \equiv 2 \pmod{4}$ ,  $v \geq 6$ ,  $k \equiv 3 \pmod{4}$ ,  
 $3 \leq k \leq v - 3$ .
- ▶ K., Laue, Wassermann 2018:  $2-(v, k, \left[ \begin{smallmatrix} v-2 \\ k-2 \end{smallmatrix} \right]_q / 3)_2$   
for  $v \geq 8$ ,  $2 \leq (v \bmod 6) < (k \bmod 6) \leq 5$ .
- ▶ Braun, K., Laue 2019:  $2-(8, 4, \frac{(q^6-1)(q^3-1)}{(q^2-1)(q-1)})_q$  for all  $q$ .

## Subspace designs with $t \geq 3$

- ▶  $t = 3$ : Only two subspace designs known.
- ▶  $t \geq 4$ : no subspace design known.

## Subspace designs and subspace codes

- ▶ Let  $C \subseteq \left[ \begin{smallmatrix} V \\ k \end{smallmatrix} \right]_q$  and  $t = k - \delta + 1$ .
- ▶ Remember:  $C$  is  $(v, \#C, 2\delta)_q$  CDC  
 $\Leftrightarrow$  each  $T \in \left[ \begin{smallmatrix} V \\ t \end{smallmatrix} \right]_q$  is contained in **at most 1** element of  $C$ .
- ▶ By definition:  $C$  is  $t$ - $(v, k, \lambda)_q$  subspace design  
 $\Leftrightarrow$  each  $T \in \left[ \begin{smallmatrix} V \\ t \end{smallmatrix} \right]_q$  is contained in **exactly  $\lambda$**  elements of  $C$ .
- ▶ Therefore:  
 $C$  is **both**  $(v, \#C, 2\delta)_q$  CDC and  $t$ - $(v, k, \lambda)_q$  design  
 $\Leftrightarrow C$  is a Steiner system  
(  $\Leftrightarrow C$  is a **diameter perfect CDC** )

## Lemma

Let  $D$  be a  $t$ - $(v, k, \lambda)_q$  design and  $i, j \in \{0, \dots, t\}$  with  $i + j \leq t$ . Then for all  $I \in \binom{V}{i}_q$  and  $J \in \binom{V}{v-j}_q$  with  $I \subseteq J$

$$\lambda_{i,j} := \#\{B \in D \mid I \subseteq B \subseteq J\} = \lambda \frac{\binom{v-i-j}{k-i}_q}{\binom{v-t}{k-t}_q}.$$

In particular,  $\#D = \lambda_{0,0}$ .

## Corollary: Integrality conditions

If a  $t$ - $(v, k, \lambda)_q$  design exists, then all  $\lambda_{i,j} \in \mathbb{Z}$ .

Sufficient to check:  $\lambda_i := \lambda_{i,0} \in \mathbb{Z}$  (Parameters **admissible**)

## Corollary

$\text{STS}_q(v)$  admissible  $\iff v \equiv 1, 3 \pmod{6}$ .

## $STS_q(v)$ for small admissible $v$

- ▶  $v = 3$

$STS_q(3) = \{V\}$  exists trivially.

- ▶  $v = 7$

$q$ -analog of the Fano plane  $STS_q(7)$ .

Existence undecided for every field order  $q$ .

Most important open problem in  $q$ -analog of designs.

- ▶  $v = 9$

existence open for every  $q$ .

- ▶  $v = 13$

$STS_2(13)$  exists (Braun, Etzion, Östergård, Vardy, Wassermann 2013)

Only known non-trivial  $q$ -Steiner system with  $t \geq 2$ !

## Status of $\text{STS}_q(7)$

- ▶ A  $\text{STS}_q(7)$  is a set of planes in  $\text{PG}(\mathbb{F}_2^7)$  covering each line exactly once.
- ▶ A  $\text{STS}_q(7)$  has size  $\lambda_{0,0} = q^8 + q^6 + q^5 + q^4 + q^3 + q^2 + 1$ .
  - ▶ binary: 381
  - ▶ ternary: 7651
- ▶  $\text{STS}_q(7)$  exists if and only if  $A_q(7, 4; 3) = q^8 + q^6 + q^5 + q^4 + q^3 + q^2 + 1$ .
- ▶ Question for its existence first stated in 1972.
- ▶ Still open for every  $q$ .
- ▶ Largest known subspace codes:
  - ▶ binary: 333 (Heinlein, K., Kurz, Wassermann 2019)
  - ▶ ternary: 6978 (Honold, K. 2016 + extension by D. Heinlein)

## $q$ -Pascal triangle for $\text{STS}_q(7)$ $D$

$$\lambda_{0,0} = q^8 + q^6 + q^5 + q^4 + q^3 + q^2 + 1$$

$$\lambda_{1,0} = q^4 + q^2 + 1 \quad \lambda_{0,1} = q^5 + q^3 + q^2 + 1$$

$$\lambda_{2,0} = 1 \quad \lambda_{1,1} = q^2 + 1 \quad \lambda_{0,2} = q^2 + 1$$

- ▶ Each point  $P$  is contained in  $\lambda_{1,0} = q^4 + q^2 + 1$  blocks.
- ▶  $\rightsquigarrow$  **derived design** wrt  $P$  ("local point of view from  $P$ ")

$$\text{Der}_P(D) = \{B/P \mid B \in D \text{ with } P \subseteq B\} \subseteq V/P$$

- ▶ In general:  $\text{Der}_P(D)$  is  $(t-1)-(v-1, k-1, \lambda)_q$  design.
- ▶  $\implies \text{Der}_P(\text{STS}_q(7))$  is  $1-(6, 2, 1)_q$  design.  
= set of lines in  $\text{PG}(5, q)$  covering each point exactly once.
- ▶ In other words:  $\text{Der}(\text{STS}_q(7))$  is a **line spread** of  $\text{PG}(5, q)$ .

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## $\alpha$ -points

- ▶ spread  $\mathcal{S}$  called **geometric** if for all distinct  $L_1, L_2 \in \mathcal{S}$ :  
 $\{L \in \mathcal{S} \mid L \subseteq L_1 + L_2\}$  is spread of the solid  $L_1 + L_2$ .
- ▶  $P$  is called  **$\alpha$ -point** of  $\text{STS}_q(7)$   
if the derived design in  $P$  is a **geometric** spread.
- ▶ **S. Thomas 1996**: There exists a **non- $\alpha$ -point**.
- ▶ **O. Heden, P. Sissokho 2016**: For  $q = 2$ :  
Each hyperplane contains **non- $\alpha$ -point**.
- ▶ Goal: Investigate Heden-Sissokho result for **general  $q$ !**



- ▶ Assume that  $H$  is hyperplane containing only  $\alpha$ -points.
- ▶ Fix a **poor** solid  $S$  in  $H$  (not containing any block).
- ▶ Let  $\mathcal{F} = \{F \in \binom{H}{5}_q \mid S \subseteq F\}$ .  
We have  $\#\mathcal{F} = q + 1$ .
- ▶ For  $F \in \mathcal{F}$ , let

$$\mathcal{L}_F := \{B \cap S \mid B \in D \text{ and } B + S = F\}.$$

### ▶ Lemma

- ▶  $\mathcal{L}_F$  is a **line spread** of  $S$ .
- ▶ The sets  $\mathcal{L}_F$  with  $F \in \mathcal{F}$  are **pairwise disjoint**.

## Conclusion

$\mathcal{L} := \biguplus_{F \in \mathcal{F}} \mathcal{L}_F$  is a set of  $(q+1)(q^2+1)$  lines in  $\text{PG}(3, q)$  admitting a partition into  $q+1$  line spreads.

## Lemma

For each point  $P$  in  $S$ , the  $q+1$  lines in  $\mathcal{L}$  passing through  $P$  span only a plane  $E_P$ .

(In other words, the lines form a pencil in  $E_P$  through  $P$ .)

## Lemma

$(\begin{smallmatrix} S \\ 1 \end{smallmatrix}, \mathcal{L})$  is a projective generalized quadrangle of order  $(q, q)$ .

## Classification

Classification of projective generalized quadrangles:

(F. Buekenhout, C. Lefèvre 1974)

$\implies ([\begin{smallmatrix} S \\ 1 \end{smallmatrix}]_q, \mathcal{L})$  is **symplectic generalized quadrangle**  $W(q)$ .

## Implication

- ▶ By property of  $\mathcal{L}$ :  
The lines of  $W(q)$  admit a partition into  $q + 1$  line spreads.
- ▶ Equivalently: The points of the parabolic quadric  $Q(4, q)$  admit a partition into ovoids.
- ▶ Not possible for even  $q$ .
  - ▶ Payne, Thas: Finite generalized quadrangles, 3.4.1(i)
- ▶ Not possible for prime  $q$ .
  - ▶ Ball, Govaerts, Storme 2006:  
Each ovoid in  $Q(4, q)$  is an elliptic quadric.
  - ▶ Any two of them have non-trivial intersection.

## Theorem (K., submitted)

Let  $q$  be prime or even and  $D$  a  $\text{STS}_q(7)$ .

Then each hyperplane contains a non- $\alpha$ -point of  $D$ .

# Outline

## Subspace codes

Motivation and definition

Partial spreads

The case  $A_q(6, 4; 3)$

## $q$ -analogs of designs

Block designs and their  $q$ -analogs

$\alpha$ -points

Automorphisms of a binary  $q$ -analog of the Fano plane

## Automorphisms

- ▶ Fundamental theorem of projective geometry:  
For  $v \geq 3$ ,  $\text{Aut}(\mathcal{L}(V)) = \text{PGL}(V)$ .
- ▶ Let  $D \subseteq \mathcal{L}(V)$ , define **linear automorphism group** as

$$\text{Aut}(D) = \{\varphi \in \text{PGL}(V) \mid \varphi(D) = D\}$$

( $\text{Aut}(D)$  = stabilizer of  $D$  in  $\text{PGL}(V)$ .)

## Automorphisms of $\text{STS}_2(7)$

- ▶ Goal Investigate possible automorphisms of  $\text{STS}_2(7)$ .
- ▶ Here:  $\text{PGL}(V) = \text{GL}(V)$ .

## Automorphisms of order 3

- ▶ Case study: Automorphisms of an  $\text{STS}_2(7)$  of order 3.
- ▶ Elements of order 3 in  $\text{GL}(v, 2)$  are represented by

$$A_{v,f} := \begin{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} & & & \\ & \ddots & & \\ & & \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} & \\ & & & I_f \end{pmatrix}$$

with  $f \in \{0, \dots, v-1\}$ ,  $v-f$  even.

## Example

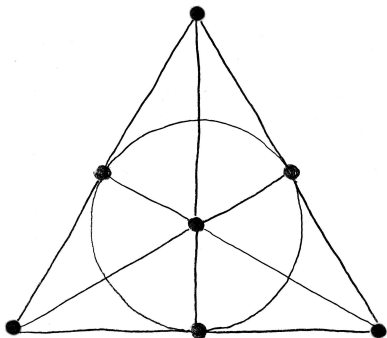
Elements of order 3 in  $GL(7, 2)$  up to conjugates:

$$A_{7,1} = \begin{pmatrix} 1 & 1 & & & & & \\ 1 & 0 & & & & & \\ & & 1 & 1 & & & \\ & & 1 & 0 & & & \\ & & & & 1 & 1 & \\ & & & & 1 & 0 & \\ & & & & & & 1 \end{pmatrix} \quad A_{7,3} = \begin{pmatrix} 1 & 1 & & & & & \\ 1 & 0 & & & & & \\ & & 1 & 1 & & & \\ & & 1 & 0 & & & \\ & & & & 1 & & \\ & & & & & 1 & \\ & & & & & & 1 \end{pmatrix}$$
$$A_{7,5} = \begin{pmatrix} 1 & 1 & & & & & \\ 1 & 0 & & & & & \\ & & 1 & & & & \\ & & & 1 & & & \\ & & & & 1 & & \\ & & & & & 1 & \\ & & & & & & 1 \end{pmatrix}$$



## Example (GL(3, 2))

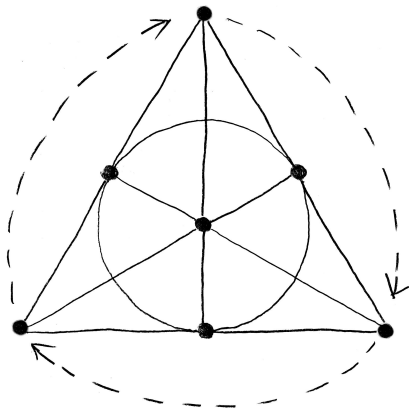
Single element type of order 3:  $A_{3,1} = \begin{pmatrix} 1 & 1 & \\ 1 & 0 & \\ & & 1 \end{pmatrix}$



- ▶ 1 fixed point
- ▶ 2 orbits of size 3 falling into:
  - ▶ 1 orbit line
  - ▶ 1 orbit triangle

## Example (GL(3, 2))

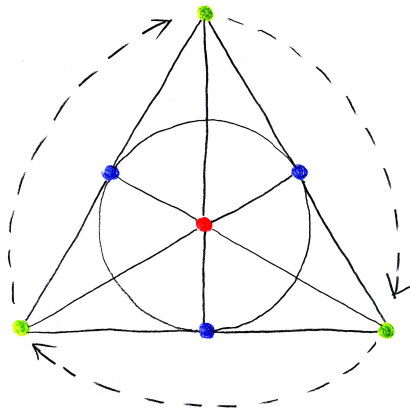
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## Example (GL(3, 2))

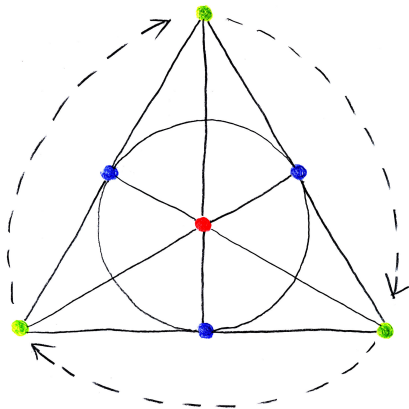
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Single element type of order 3:  $A_{3,1} = \begin{pmatrix} 1 & 1 & \\ 1 & 0 & \\ & & 1 \end{pmatrix}$



- ▶ 1 fixed point
- ▶ 2 orbits of size 3 falling into:
  - ▶ 1 orbit line
  - ▶ 1 orbit triangle

## Action of $A_{v,f}$ on the point set $\begin{bmatrix} V \\ 1 \end{bmatrix}_q$

- ▶  $2^f - 1$  fixed points  
(points of the form  $\langle\langle 0, \dots, 0, *, \dots, * \rangle\rangle$ )
- ▶  $\frac{2^{v-f} - 1}{3}$  orbit lines  
(points of the form  $\langle\langle *, \dots, *, 0, \dots, 0 \rangle\rangle$ )
- ▶  $\frac{(2^{v-f} - 1)(2^f - 1)}{3}$  orbit triangles

### Example

$v$	$f$	fixed points	orbit triangles	orbit lines
3	1	1	1	1
7	1	1	21	21
7	3	7	35	5
7	5	31	31	1

## Fixed planes

- ▶ Let  $G = \langle A_{V,f} \rangle$
- ▶ Let  $E \in \left[ \begin{smallmatrix} V \\ 3 \end{smallmatrix} \right]_q$  be a fixed plane (i.e.  $E^G = E$ )
- ▶ Then  $G|_E$  is well-defined
- ▶  $\#G|_E \in \{1, 3\}$
- ▶  $\#G|_E = 1 \implies E$  has 7 fixed points (type 7)
- ▶  $\#G|_E = 3 \implies E$  has 1 fixed point, 1 orbit line and 1 orbit triangle (type 1)

## Counting fixed planes

How many fixed planes of type 1 and 7?

▶ Type 7:

3-subspaces of the  $f$ -dim space of fixed points.

$$\rightsquigarrow \begin{bmatrix} f \\ 3 \end{bmatrix}_2$$

▶ Type 1:

Uniquely spanned by an orbit triangle.

$$\rightsquigarrow \# \text{orbit triangles} = \frac{(2^f - 1)(2^{v-f} - 1)}{3}$$

### Example

$v$	$f$	#f.p.	#o.t. = #T1	#o.l.	#T7
3	1	1	1	1	0
7	1	1	21	21	0
7	3	7	35	5	1
7	5	31	31	1	155

## Fixed blocks

- ▶ Let  $D$  be a  $G$ -invariant  $\text{STS}_2(v)$ .
- ▶  $\mathcal{F}_1 :=$  set of fixed blocks of  $D$  of type 1
- ▶  $\mathcal{F}_7 :=$  set of fixed blocks of  $D$  of type 7

Double count  $X = \{(L, B) \mid L \text{ orbit line, } B \in \mathcal{F}_1, L < B\}$ .

1.  $\#X = \#\mathcal{F}_1 \cdot 1$
2. ▶ Let  $L$  be an orbit line.
  - ▶  $D$  Steiner system  $\implies \exists$  unique  $B \in D$  with  $L < B$ .
  - ▶ For all  $g \in G$ :  $B^g > L^g = L$ .
  - ▶ Uniqueness of  $B \implies B$  is fixed block.
  - ▶  $B$  contains orbit line  $L \implies B$  of type 1.

So:  $\#X = \#(\text{orbit lines}) \cdot 1$ .

$$\implies \#\mathcal{F}_1 = \#\text{orbit lines} = \frac{2^{v-f} - 1}{3}$$

$$\text{Similarly: } \#\mathcal{F}_7 = \frac{(2^f - 1)(2^{f-1} - 1)}{21}$$



## Example

$v$	$f$	#f.p.	#o.l. = $\#\mathcal{F}_1$	#o.t. = $\#\text{T1}$	#T7	$\#\mathcal{F}_7$
7	1	1	21	21	0	0
7	3	7	5	35	1	1
7	5	31	1	31	155	155/7

## Conclusion

- ▶  $\#\mathcal{F}_7$  must be integral  
     $\implies$  The group  $\langle A_{7,5} \rangle$  is not possible!
- ▶ For  $f = 3$ , the T7-plane is contained in  $D$ .
- ▶ For  $f = 1$ , all 21 T1-planes are contained in  $D$ .

## Example

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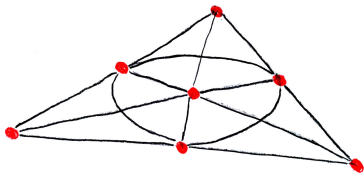
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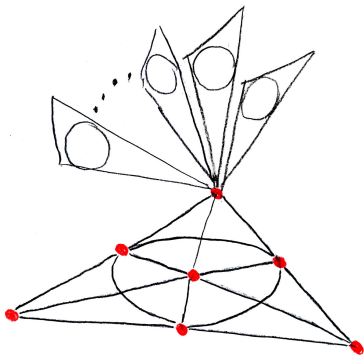
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## The case $v = 7, f = 3$



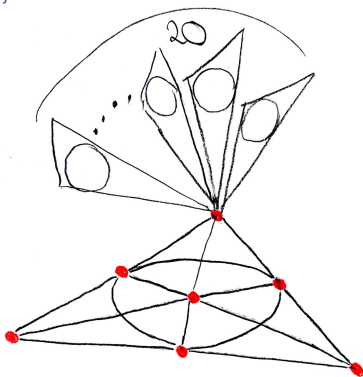
- ▶  $\#\mathcal{F}_7 = 1$ . Let  $B$  be this block and  $P \in \begin{bmatrix} B \\ 1 \end{bmatrix}_q$ .  $\implies P$  fixed.
- ▶ Through  $P$ : The block  $B$  and  $\lambda_1 - 1 = 20$  others.
- ▶ Orbit lengths 1 or 3  $\implies \geq 2$  fixed blocks among them!
- ▶ In total: At least 14 fixed blocks different from  $B$ .
- ▶ But  $\#\mathcal{F}_1 = 5$ . Contradiction!

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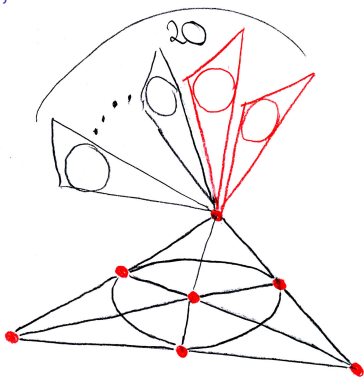
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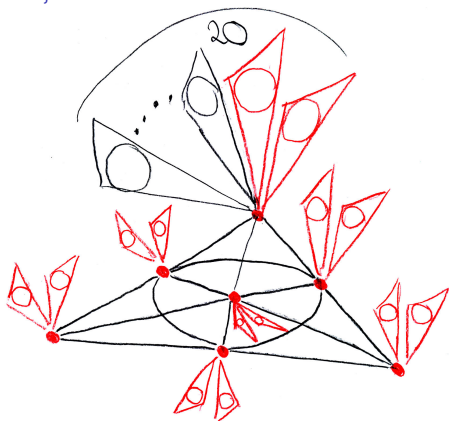
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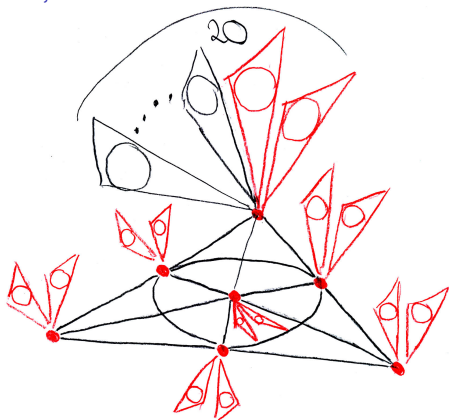


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- ▶ Orbit lengths 1 or 3  $\implies \geq 2$  fixed blocks among them!
- ▶ In total: At least 14 fixed blocks different from  $B$ .
- ▶ But  $\#\mathcal{F}_1 = 5$ . Contradiction!

## The case $v = 7, f = 1$

- ▶ We didn't find a theoretic argument to exclude  $G = \langle A_{7,1} \rangle$ .
- ▶ We know:  $D$  contains the set  $\mathcal{S}$  of 21 T1-blocks.  
They all pass through  $P = \langle (0, 0, 0, 0, 0, 1) \rangle$ .  
In  $V/P \cong \text{PG}(5, 2)$ , they form a Desarguesian line spread.
- ▶ Problem: Out of 3720 orbits of length 3, select 120 such that together with  $\mathcal{S}$ , they form an  $\text{STS}_2(7)$ .  
Huge search space!
- ▶ Normalizer  $N(G)$  of order 362880 acts on the search space.
- ▶ Orderly generation (wrt  $N(G)$ ) to reduce the number of cases.
- ▶ Parallel computation on the Bayreuth Linux cluster.
- ▶ Finally: There is no  $G$ -invariant  $\text{STS}_2(7)$ .

Theorem (Braun, K., Nakič 2016 and K., Kurz, Wassermann 2018)

*The automorphism group of a binary  $q$ -analog of the Fano plane is*

- ▶ *trivial or*
- ▶ *of order 2 and conjugate to*

$$\left\langle \begin{pmatrix} 0 & 1 & & & & & \\ 1 & 0 & & & & & \\ & & 0 & 1 & & & \\ & & 1 & 0 & & & \\ & & & & 0 & 1 & \\ & & & & 1 & 0 & \\ & & & & & & 1 \end{pmatrix} \right\rangle.$$

## Implications of our results on the existence of a $STS_2(7)$

- ▶ Won't be very symmetric.
- ▶ Many “natural” approaches for the construction won't work.
- ▶ Still: Vast part of the search space remains untouched.
- ▶ Further theoretical insight is needed to reduce the complexity to a computationally feasible level.
- ▶ Problem is still wide open!

## Things I didn't talk about

- ▶ rank metric codes, MRD codes, lifted MRD codes  
+ connections to finite semifields, translation planes . . .
- ▶ mixed dimension subspace codes
- ▶ vector space partitions
- ▶ and others

Thank you!

Slides can be found at

<https://mathe2.uni-bayreuth.de/michaelk/>